

## *Skew PBW Extensions of Baer, quasi-Baer, p.p. and p.q.-rings*

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**Abstract.** The aim of this paper is to study skew Poincaré-Birkhoff-Witt extensions of Baer, quasi-Baer, p.p. and p.q.-Baer rings. Using a notion of rigidity, we prove that these properties are stable over this kind of extensions.

**Keywords:** Baer, quasi-Baer, p.p. and p.q.-Baer rings, skew Poincaré-Birkhoff-Witt extensions.

**MSC2010:** 16E50, 16S36, 16D25.

### *Extensiones PBW torcidas de anillos de Baer, quasi-Baer, p.p. y p.q.-Baer*

**Resumen.** El propósito de este artículo es estudiar las extensiones torcidas de Poincaré-Birkhoff-Witt de anillos de Baer, quasi-Baer, p.p. y p.q.-Baer. Utilizando una noción de rigidez, probamos que estas propiedades son estables para esta clase de extensiones.

**Palabras clave:** Anillos Baer, quasi-Baer, p.p, p.q.-Baer, extensiones torcidas de Poincaré-Birkhoff-Witt.

## 1. Introduction

Kaplansky [15] defined a ring  $B$  as a *Baer* (resp. *quasi-Baer*, which was defined by Clark [6]) ring if the right annihilator of every nonempty subset (resp. ideal) of  $B$  is generated by an idempotent. Another generalization of Baer rings are the p.p.-rings. A ring  $B$  is called *right* (resp. *left*) *p.p* if the right (resp. *left*) annihilator of each element of  $B$  is generated by an idempotent (or equivalently, rings in which each principal right (resp. *left*) ideal is projective). Birkenmeier et al. [4] define a ring to be called a *right* (resp. *left*) *principally quasi-Baer* (or simply *right* (resp. *left*) *p.q.-Baer*) ring if the right annihilator of each principal right (resp. *left*) ideal of  $B$  is generated by an idempotent.

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Commutative and noncommutative rings Baer, quasi-Baer, p.p.-rings, and right p.q.-Baer have been investigated in the literature. For instance, polynomial extensions in the commutative case were studied in [1],[3], and Ore extensions  $B[x; \alpha, \delta]$  of injective type, i.e., when  $\alpha$  is injective, of all this kind of rings can be found in several works (cf. [4],[5],[8],[10],[11],[13],[14], and others). Some of these works consider the case  $\delta = 0$  and  $\alpha$  an automorphism, or the case where  $\alpha$  is the identity. Nevertheless, it is important to say that the Baerness and quasi-Baerness of a ring  $B$  and an Ore extension  $B[x; \sigma, \delta]$  of  $B$  does not depend on each other. More exactly, there are examples which show that there exists a Baer ring  $B$  but the Ore extension  $B[x; \sigma, \delta]$  is not right p.q.-Baer; similarly, there exist Ore extensions  $B[x; \sigma, \delta]$  which are quasi-Baer, but  $B$  is not quasi-Baer (see [13] for more details). With this in mind, a natural question for a given class of Baer, quasi-Baer, p.p.-rings, and right p.q.-Baer, is the behavior with respect to skew Poincaré-Birkhoff-Witt (PBW for short) extensions (introduced in [7]), which are more general than Ore extensions of injective type. More exactly, it has been shown that skew PBW extensions contain various well-known groups of algebras such as some types of Auslander-Gorenstein rings, some skew Calabi-Yau algebras, quantum polynomials, some quantum universal enveloping algebras, etc. (see [17] or [23]). In fact, these extensions include several algebras which can not be expressed as Ore extensions (universal enveloping algebras of finite Lie algebras, diffusion algebras, and others, see Section 4). This shows the necessity to have more general results in a theory of Baerness and quasi-Baerness for several noncommutative rings. Therefore, this paper contains a first approach about Baer, quasi-Baer, p.p. and p.q.-rings with the purpose of establishing necessary and sufficient conditions to guarantee that these properties are stable over skew PBW extensions. Since we use a notion of *rigidness* (see Definition 3.2), we generalize the results presented in [13] and [21] using techniques fairly standard and following the same path as other text on the subject (see Theorems 3.9, 3.10, 3.12, and 3.13, which are the important results of this paper). In this way, we continue the task of studying ring and module theoretical properties of skew PBW extensions (cf. [17],[18],[23],[24], and others).

## 2. Definitions and elementary properties

In this section we recall the definition of skew PBW extensions and present some key properties of these rings.

**Definition 2.1** ([7], Definition 1). Let  $R$  and  $A$  be rings. We say that  $A$  is a *skew PBW extension of  $R$*  (also called a  $\sigma$ -PBW extension of  $R$ ) if the following conditions hold:

- (i)  $R \subseteq A$ ;
- (ii) there exist elements  $x_1, \dots, x_n \in A$  such that  $A$  is a left free  $R$ -module, with basis the basic elements  $\text{Mon}(A) := \{x^\alpha = x_1^{\alpha_1} \cdots x_n^{\alpha_n} \mid \alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n\}$ .
- (iii) For each  $1 \leq i \leq n$  and any  $r \in R \setminus \{0\}$ , there exists an element  $c_{i,r} \in R \setminus \{0\}$  such that  $x_i r - c_{i,r} x_i \in R$ .
- (iv) For any elements  $1 \leq i, j \leq n$  there exists  $c_{i,j} \in R \setminus \{0\}$  such that  $x_j x_i - c_{i,j} x_i x_j \in R + R x_1 + \cdots + R x_n$ .

Under these conditions we will write  $A := \sigma(R)\langle x_1, \dots, x_n \rangle$ .

**Remark 2.2** ([7], Remark 2). (i) Since  $\text{Mon}(A)$  is a left  $R$ -basis of  $A$ , the elements  $c_{i,r}$  and  $c_{i,j}$  in Definition 2.1 are unique; (ii) In Definition 2.1 (iv),  $c_{i,i} = 1$ . This follows from  $x_i^2 - c_{i,i}x_i^2 = s_0 + s_1x_1 + \dots + s_nx_n$ , with  $s_i \in R$ , which implies  $1 - c_{i,i} = 0 = s_i$ .

**Proposition 2.3** ([7], Proposition 3). *Let  $A$  be a skew PBW extension of  $R$ . For each  $1 \leq i \leq n$ , there exists an injective endomorphism  $\sigma_i : R \rightarrow R$  and an  $\sigma_i$ -derivation  $\delta_i : R \rightarrow R$  such that  $x_i r = \sigma_i(r)x_i + \delta_i(r)$ , for each  $r \in R$ .*

**Remark 2.4.** Following the notation of Proposition 2.3, we write  $\Sigma := \{\sigma_1, \dots, \sigma_n\}$ , and  $\Delta := \{\delta_1, \dots, \delta_n\}$ , that is,  $\Delta$  is the family of  $\Sigma$ -derivations in  $A$ .

A particular case of skew PBW extension is considered when derivations  $\delta_i$  are zero for every  $i$ . Another case is presented when all endomorphisms  $\sigma_i$  are isomorphisms. These observations are formulated in the next definition.

**Definition 2.5** ([7], Definition 4). Let  $A$  be a skew PBW extension of  $R$ .

- (a)  $A$  is called *quasi-commutative* if the conditions (iii) and (iv) in Definition 2.1 are replaced by (iii)': for each  $1 \leq i \leq n$  and all  $r \in R \setminus \{0\}$  there exists  $c_{i,r} \in R \setminus \{0\}$  such that  $x_i r = c_{i,r}x_i$ ; (iv)': for any  $1 \leq i, j \leq n$  there exists  $c_{i,j} \in R \setminus \{0\}$  such that  $x_j x_i = c_{i,j}x_i x_j$ .
- (b)  $A$  is called *bijective* if  $\sigma_i$  is bijective for each  $1 \leq i \leq n$ , and  $c_{i,j}$  is invertible for any  $1 \leq i < j \leq n$ .

**Example 2.6.** *The class of skew PBW extensions contains various well-known groups of algebras such as some types of Auslander-Gorenstein rings, some skew Calabi-Yau algebras, quantum polynomials, some quantum universal enveloping algebras, etc. A detailed list of examples of skew PBW extensions is presented in [17],[23] or [24].*

**Definition 2.7** ([7], Definition 6). Let  $A$  be a skew PBW extension of  $R$  with endomorphisms  $\sigma_i, 1 \leq i \leq n$ , as in Proposition 2.3.

- (i) For  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n, \sigma^\alpha := \sigma_1^{\alpha_1} \dots \sigma_n^{\alpha_n}, |\alpha| := \alpha_1 + \dots + \alpha_n$ . If  $\beta = (\beta_1, \dots, \beta_n) \in \mathbb{N}^n$ ; then  $\alpha + \beta := (\alpha_1 + \beta_1, \dots, \alpha_n + \beta_n)$ .
- (ii) For  $X = x^\alpha \in \text{Mon}(A), \exp(X) := \alpha$  and  $\deg(X) := |\alpha|$ . The symbol  $\succeq$  will denote a total order defined on  $\text{Mon}(A)$  (a total order on  $\mathbb{N}_0^n$ ). For an element  $x^\alpha \in \text{Mon}(A), \exp(x^\alpha) := \alpha \in \mathbb{N}_0^n$ . If  $x^\alpha \succeq x^\beta$  but  $x^\alpha \neq x^\beta$ , we write  $x^\alpha \succ x^\beta$ . Every element  $f \in A$  can be expressed uniquely as  $f = a_0 + a_1X_1 + \dots + a_mX_m$ , with  $a_i \in R \setminus \{0\}$ , and  $X_m \succ \dots \succ X_1$ . With this notation, we define  $\text{lm}(f) := X_m$ , the *leading monomial* of  $f$ ;  $\text{lc}(f) := a_m$ , the *leading coefficient* of  $f$ ;  $\text{lt}(f) := a_mX_m$ , the *leading term* of  $f$ ;  $\exp(f) := \exp(X_m)$ , the *order* of  $f$ ; and  $E(f) := \{\exp(X_i) \mid 1 \leq i \leq t\}$ . Note that  $\deg(f) := \max\{\deg(X_i)\}_{i=1}^t$ . Finally, if  $f = 0$ , then  $\text{lm}(0) := 0, \text{lc}(0) := 0, \text{lt}(0) := 0$ . We also consider  $X \succ 0$  for any  $X \in \text{Mon}(A)$ . For a detailed description of monomial orders in skew PBW extensions, see [7], Section 3.

Skew PBW extensions can be characterized in the following way.

**Theorem 2.8** ([7], Theorem 7). *Let  $A$  be a polynomial ring over  $R$  with respect to  $\{x_1, \dots, x_n\}$ .  $A$  is a skew PBW extension of  $R$  if and only if the following conditions are satisfied:*

- (i) *for each  $x^\alpha \in \text{Mon}(A)$  and every  $0 \neq r \in R$ , there exist unique elements  $r_\alpha := \sigma^\alpha(r) \in R \setminus \{0\}$ ,  $p_{\alpha,r} \in A$ , such that  $x^\alpha r = r_\alpha x^\alpha + p_{\alpha,r}$ , where  $p_{\alpha,r} = 0$  or  $\deg(p_{\alpha,r}) < |\alpha|$  if  $p_{\alpha,r} \neq 0$ . If  $r$  is left invertible, so is  $r_\alpha$ .*
- (ii) *For each  $x^\alpha, x^\beta \in \text{Mon}(A)$  there exist unique elements  $c_{\alpha,\beta} \in R$  and  $p_{\alpha,\beta} \in A$  such that  $x^\alpha x^\beta = c_{\alpha,\beta} x^{\alpha+\beta} + p_{\alpha,\beta}$ , where  $c_{\alpha,\beta}$  is left invertible,  $p_{\alpha,\beta} = 0$  or  $\deg(p_{\alpha,\beta}) < |\alpha + \beta|$  if  $p_{\alpha,\beta} \neq 0$ .*

In Proposition 2.9 and Remark 2.10 we will look more closely at the form of the polynomials  $p_{\alpha,r}$  and  $p_{\alpha,\beta}$  in Theorem 2.8. We start establishing an expression for the product  $x^\alpha r = x_1^{\alpha_1} \cdots x_n^{\alpha_n} r$ , with  $\alpha := (\alpha_1, \dots, \alpha_n) \in \mathbb{N}_0^n$  and  $r \in R$ .

**Proposition 2.9.** *We have the equality*

$$\begin{aligned}
 x^\alpha r &= x_1^{\alpha_1} x_2^{\alpha_2} \cdots x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} r = x_1^{\alpha_1} \cdots x_{n-1}^{\alpha_{n-1}} \left( \sum_{j=1}^{\alpha_n} x_n^{\alpha_n-j} \delta_n(\sigma_n^{j-1}(r)) x_n^{j-1} \right) \\
 &+ x_1^{\alpha_1} \cdots x_{n-2}^{\alpha_{n-2}} \left( \sum_{j=1}^{\alpha_{n-1}} x_{n-1}^{\alpha_{n-1}-j} \delta_{n-1}(\sigma_{n-1}^{j-1}(\sigma_n^{\alpha_n}(r))) x_{n-1}^{j-1} \right) x_n^{\alpha_n} \\
 &+ x_1^{\alpha_1} \cdots x_{n-3}^{\alpha_{n-3}} \left( \sum_{j=1}^{\alpha_{n-2}} x_{n-2}^{\alpha_{n-2}-j} \delta_{n-2}(\sigma_{n-2}^{j-1}(\sigma_{n-1}^{\alpha_{n-1}}(\sigma_n^{\alpha_n}(r)))) x_{n-2}^{j-1} \right) x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} \\
 &+ \cdots + x_1^{\alpha_1} \left( \sum_{j=1}^{\alpha_2} x_2^{\alpha_2-j} \delta_2(\sigma_2^{j-1}(\sigma_3^{\alpha_3}(\sigma_4^{\alpha_4}(\cdots(\sigma_n^{\alpha_n}(r))))) \right) x_2^{j-1} x_3^{\alpha_3} x_4^{\alpha_4} \cdots x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} \\
 &+ \sigma_1^{\alpha_1}(\sigma_2^{\alpha_2}(\cdots(\sigma_n^{\alpha_n}(r)))) x_1^{\alpha_1} \cdots x_n^{\alpha_n}, \quad \sigma_j^0 := \text{id}_R \text{ for } 1 \leq j \leq n.
 \end{aligned}$$

*Proof.* Induction on the number of variables. Let us see the case  $n = 1$ . Let  $x_n$  be a variable and  $\alpha_n$  an element of  $\mathbb{N}_0$ . The idea is to show that

$$x_n^{\alpha_n} r = \sigma_n^{\alpha_n}(r) x_n^{\alpha_n} + \sum_{j=1}^{\alpha_n} x_n^{\alpha_n-j} \delta_n(\sigma_n^{j-1}(r)) x_n^{j-1}, \quad \sigma_n^0 := \text{id}_R. \tag{1}$$

If  $\alpha_n = 0$  or 1, the result is clear. Suppose the assertion is true for  $\alpha_n$ . Then

$$\begin{aligned}
 x_n^{\alpha_n+1} r &= x_n x_n^{\alpha_n} r = x_n \left( \sigma_n^{\alpha_n}(r) x_n^{\alpha_n} + \sum_{j=1}^{\alpha_n} x_n^{\alpha_n-j} \delta_n(\sigma_n^{j-1}(r)) x_n^{j-1} \right) \\
 &= x_n \sigma_n^{\alpha_n}(r) x_n^{\alpha_n} + x_n \left( \sum_{j=1}^{\alpha_n} x_n^{\alpha_n-j} \delta_n(\sigma_n^{j-1}(r)) x_n^{j-1} \right) \\
 &= (\sigma_n^{\alpha_n+1}(r) x_n + \delta_n(\sigma_n^{\alpha_n}(r))) x_n^{\alpha_n} + \sum_{j=1}^{\alpha_n} x_n^{\alpha_n+1-j} \delta_n(\sigma_n^{j-1}(r)) x_n^{j-1} \\
 &= \sigma_n^{\alpha_n+1}(r) x_n^{\alpha_n+1} + \sum_{j=1}^{\alpha_n+1} x_n^{\alpha_n+1-j} \delta_n(\sigma_n^{j-1}(r)) x_n^{j-1},
 \end{aligned}$$

which proves the case  $n = 1$ .

Suppose that the assertion is true for  $n$ . Let us see the situation when we have  $n + 1$  variables.

$$\begin{aligned}
 x_1^{\alpha_1} \cdots x_{n+1}^{\alpha_{n+1}} r &= x_1^{\alpha_1} \cdots x_n^{\alpha_n} \left( \sigma_{n+1}^{\alpha_{n+1}}(r) x_{n+1}^{\alpha_{n+1}} + \sum_{j=1}^{\alpha_{n+1}} x_{n+1}^{\alpha_{n+1}-j} \delta_{n+1}(\sigma_{n+1}^{j-1}(r)) x_{n+1}^{j-1} \right) \\
 &= x_1^{\alpha_1} \cdots x_n^{\alpha_n} \sigma_{n+1}^{\alpha_{n+1}}(r) x_{n+1}^{\alpha_{n+1}} + x_1^{\alpha_1} \cdots x_n^{\alpha_n} \sum_{j=1}^{\alpha_{n+1}} x_{n+1}^{\alpha_{n+1}-j} \delta_{n+1}(\sigma_{n+1}^{j-1}(r)) x_{n+1}^{j-1} \\
 &= \left[ x_1^{\alpha_1} \cdots x_{n-1}^{\alpha_{n-1}} \left( \sum_{j=1}^{\alpha_n} x_n^{\alpha_n-j} \delta_n(\sigma_n^{j-1}(\sigma_{n+1}^{\alpha_{n+1}}(r))) x_n^{j-1} \right) \right. \\
 &\quad + x_1^{\alpha_1} \cdots x_{n-2}^{\alpha_{n-2}} \left( \sum_{j=1}^{\alpha_{n-1}} x_{n-1}^{\alpha_{n-1}-j} \delta_{n-1}(\sigma_{n-1}^{j-1}(\sigma_n^{\alpha_n}(\sigma_{n+1}^{\alpha_{n+1}}(r)))) x_{n-1}^{j-1} \right) x_n^{\alpha_n} \\
 &\quad + x_1^{\alpha_1} \cdots x_{n-3}^{\alpha_{n-3}} \left( \sum_{j=1}^{\alpha_{n-2}} x_{n-2}^{\alpha_{n-2}-j} \delta_{n-2}(\sigma_{n-2}^{j-1}(\sigma_{n-1}^{\alpha_{n-1}}(\sigma_n^{\alpha_n}(\sigma_{n+1}^{\alpha_{n+1}}(r)))) x_{n-2}^{j-1} \right) x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} \\
 &\quad + \cdots + x_1^{\alpha_1} \left( \sum_{j=1}^{\alpha_2} x_2^{\alpha_2-j} \delta_2(\sigma_2^{j-1}(\sigma_3^{\alpha_3}(\cdots(\sigma_n^{\alpha_n}(\sigma_{n+1}^{\alpha_{n+1}}(r)))))) x_2^{j-1} \right) x_3^{\alpha_3} \cdots x_n^{\alpha_n} \\
 &\quad \left. + \sigma_1^{\alpha_1}(\cdots(\sigma_n^{\alpha_n}(\sigma_{n+1}^{\alpha_{n+1}}(r)))) x_1^{\alpha_1} \cdots x_n^{\alpha_n} \right] x_{n+1}^{\alpha_{n+1}} \\
 &\quad + x_1^{\alpha_1} \cdots x_n^{\alpha_n} \left( \sum_{j=1}^{\alpha_{n+1}} x_{n+1}^{\alpha_{n+1}-j} \delta_{n+1}(\sigma_{n+1}^{j-1}(r)) x_{n+1}^{j-1} \right).
 \end{aligned}$$

Equivalently,

$$\begin{aligned}
 x_1^{\alpha_1} \cdots x_n^{\alpha_n} x_{n+1}^{\alpha_{n+1}} r &= x_1^{\alpha_1} \cdots x_n^{\alpha_n} \left( \sum_{j=1}^{\alpha_{n+1}} x_{n+1}^{\alpha_{n+1}-j} \delta_{n+1}(\sigma_{n+1}^{j-1}(r)) x_{n+1}^{j-1} \right) \\
 &\quad + x_1^{\alpha_1} \cdots x_{n-1}^{\alpha_{n-1}} \left( \sum_{j=1}^{\alpha_n} x_n^{\alpha_n-j} \delta_n(\sigma_n^{j-1}(\sigma_{n+1}^{\alpha_{n+1}}(r))) x_n^{j-1} \right) x_{n+1}^{\alpha_{n+1}} \\
 &\quad + x_1^{\alpha_1} \cdots x_{n-2}^{\alpha_{n-2}} \left( \sum_{j=1}^{\alpha_{n-1}} x_{n-1}^{\alpha_{n-1}-j} \delta_{n-1}(\sigma_{n-1}^{j-1}(\sigma_n^{\alpha_n}(\sigma_{n+1}^{\alpha_{n+1}}(r)))) x_{n-1}^{j-1} \right) x_n^{\alpha_n} x_{n+1}^{\alpha_{n+1}} \\
 &\quad + \cdots + x_1^{\alpha_1} \left( \sum_{j=1}^{\alpha_2} x_2^{\alpha_2-j} \delta_2(\sigma_2^{j-1}(\sigma_3^{\alpha_3}(\cdots(\sigma_{n+1}^{\alpha_{n+1}}(r)))) x_2^{j-1} \right) x_3^{\alpha_3} \cdots x_n^{\alpha_n} x_{n+1}^{\alpha_{n+1}} \\
 &\quad + \sigma_1^{\alpha_1}(\cdots(\sigma_{n+1}^{\alpha_{n+1}}(r))) x_1^{\alpha_1} \cdots x_n^{\alpha_n} x_{n+1}^{\alpha_{n+1}}. \quad \square
 \end{aligned}$$

**Remark 2.10.** (i) By (1) we know that

$$\begin{aligned}
 x_n^{\alpha_n} r &= \sigma_n^{\alpha_n}(r) x_n^{\alpha_n} + x_n^{\alpha_n-1} \delta_n(r) + x_n^{\alpha_n-2} \delta_n(\sigma_n(r)) x_n + x_n^{\alpha_n-3} \delta_n(\sigma_n^2(r)) x_n^2 \\
 &\quad + \cdots + x_n \delta_n(\sigma_n^{\alpha_n-2}(r)) x_n^{\alpha_n-2} + \delta_n(\sigma_n^{\alpha_n-1}(r)) x_n^{\alpha_n-1}, \quad \sigma_n^0 := \text{id}_R.
 \end{aligned}$$

Note that

$$p_{\alpha_n,r} = x_n^{\alpha_n-1}\delta_n(r) + x_n^{\alpha_n-2}\delta_n(\sigma_n(r))x_n + x_n^{\alpha_n-3}\delta_n(\sigma_n^2(r))x_n^2 + \dots + x_n\delta_n(\sigma_n^{\alpha_n-2}(r))x_n^{\alpha_n-2} + \delta_n(\sigma_n^{\alpha_n-1}(r))x_n^{\alpha_n-1},$$

where  $p_{\alpha_n,r} = 0$  or  $\deg(p_{\alpha_n,r}) < \alpha_n$  if  $p_{\alpha_n,r} \neq 0$  (Theorem 2.8 (i)). It is clear that  $\exp(p_{\alpha_n,r}) \prec \alpha_n$ . Again, using (1) in every term of the product  $x_n^{\alpha_n}r$  above, we obtain

$$\begin{aligned} x_n^{\alpha_n}r &= \sigma_n^{\alpha_n}(r)x_n^{\alpha_n} + \sigma_n^{\alpha_n-1}(\delta_n(r))x_n^{\alpha_n-1} + \sum_{j=1}^{\alpha_n-1} x_n^{\alpha_n-1-j}\delta_n(\sigma_n^{j-1}(\delta_n(r)))x_n^{j-1} \\ &+ \left[ \sigma_n^{\alpha_n-2}(\delta_n(\sigma_n(r)))x_n^{\alpha_n-2} + \sum_{j=1}^{\alpha_n-2} x_n^{\alpha_n-2-j}\delta_n(\sigma_n^{j-1}(\delta_n(\sigma_n(r))))x_n^{j-1} \right] x_n \\ &+ \left[ \sigma_n^{\alpha_n-3}(\delta_n(\sigma_n^2(r)))x_n^{\alpha_n-3} + \sum_{j=1}^{\alpha_n-3} x_n^{\alpha_n-3-j}\delta_n(\sigma_n^{j-1}(\delta_n(\sigma_n^2(r))))x_n^{j-1} \right] x_n^2 \\ &+ \dots + \left[ \sigma_n(\delta_n(\sigma_n^{\alpha_n-2}(r)))x_n + \delta_n(\delta_n(\sigma_n^{\alpha_n-2}(r))) \right] x_n^{\alpha_n-2} + \delta_n(\sigma_n^{\alpha_n-1}(r))x_n^{\alpha_n-1}, \end{aligned}$$

which shows that

$$\text{lc}(p_{\alpha_n,r}) = \sum_{p=1}^{\alpha_n} \sigma_n^{\alpha_n-p}(\delta_n(\sigma_n^{p-1}(r))). \tag{2}$$

In this way, we can see that  $\text{lc}(p_{\alpha_n,r})$  involves elements obtained evaluating  $\sigma_n$  and  $\delta_n$  in the element  $r$  of  $R$ . Now, as an illustration, let us see the case of two and three variables with the idea to establish a general fact about the coefficients of the polynomials  $p_{\alpha,r}$  and  $p_{\alpha,\beta}$  in Theorem 2.8.

(ii) Consider the product  $x_{n-1}^{\alpha_n-1}x_n^{\alpha_n}r$ :

$$\begin{aligned} x_{n-1}^{\alpha_n-1}x_n^{\alpha_n}r &= x_{n-1}^{\alpha_n-1}(\sigma_n^{\alpha_n}(r)x_n^{\alpha_n} + p_{\alpha_n,r}) \\ &= x_{n-1}^{\alpha_n-1}\sigma_n^{\alpha_n}(r)x_n^{\alpha_n} + x_{n-1}^{\alpha_n-1}p_{\alpha_n,r} \\ &= [\sigma_{n-1}^{\alpha_n-1}(\sigma_n^{\alpha_n}(r))x_{n-1}^{\alpha_n-1} + p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)}]x_n^{\alpha_n} + x_{n-1}^{\alpha_n-1}p_{\alpha_n,r}, \end{aligned}$$

where  $p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)} = 0$ , or  $\deg(p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)}) < \alpha_{n-1}$ , if  $p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)} \neq 0$ . Hence,

$$x_{n-1}^{\alpha_n-1}x_n^{\alpha_n}r = \sigma_{n-1}^{\alpha_n-1}(\sigma_n^{\alpha_n}(r))x_{n-1}^{\alpha_n-1}x_n^{\alpha_n} + p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)}x_n^{\alpha_n} + x_{n-1}^{\alpha_n-1}p_{\alpha_n,r}, \tag{3}$$

with relations  $\exp(p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)}x_n^{\alpha_n}) = (\deg(p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)}), \alpha_n) \prec (\alpha_{n-1}, \alpha_n)$ ,  $\exp(x_{n-1}^{\alpha_n-1}p_{\alpha_n,r}) = (\alpha_{n-1}, \deg(p_{\alpha_n,r})) \prec (\alpha_{n-1}, \alpha_n)$ , and both degrees  $\deg(p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)}x_n^{\alpha_n})$  and  $\deg(x_{n-1}^{\alpha_n-1}p_{\alpha_n,r})$  less than  $\alpha_{n-1} + \alpha_n$ . By (2) we have

$$\text{lc}(p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)}) = \sum_{p=1}^{\alpha_{n-1}} \sigma_{n-1}^{\alpha_{n-1}-p}(\delta_{n-1}(\sigma_{n-1}^{p-1}(\sigma_n^{\alpha_n}(r)))). \tag{4}$$

Note that

$$\text{lc}(p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)}x_n^{\alpha_n}) = \text{lc}(p_{\alpha_{n-1},\sigma_n^{\alpha_n}(r)}),$$

and

$$\text{lc}(x_{n-1}^{\alpha_{n-1}} p_{\alpha_n, r}) = \sigma_{n-1}^{\alpha_{n-1}} \left( \sum_{p=1}^{\alpha_n} \sigma_n^{\alpha_n-p} (\delta_n(\sigma_n^{p-1}(r))) \right) = \sum_{p=1}^{\alpha_n} \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n-p} (\delta_n(\sigma_n^{p-1}(r))))$$

In this way (3) can be expressed as

$$\begin{aligned} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} r &= \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r)) x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} \\ &+ \left[ \sum_{p=1}^{\alpha_{n-1}} \sigma_{n-1}^{\alpha_{n-1}-p} (\delta_{n-1}(\sigma_{n-1}^{p-1}(\sigma_n^{\alpha_n}(r)))) \right] x_{n-1}^{\deg(p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)})} x_n^{\alpha_n} \\ &+ \left[ \sum_{p=1}^{\alpha_n} \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n-p} (\delta_n(\sigma_n^{p-1}(r)))) \right] x_{n-1}^{\alpha_{n-1}} x_n^{\deg(p_{\alpha_n, r})} \\ &+ \text{other terms of degree less than } \deg(p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)}) + \alpha_n \\ &+ \text{other terms of degree less than } \alpha_{n-1} + \deg(p_{\alpha_n, r}). \end{aligned}$$

(iii) Let us see a final example considering the case with three variables, that is,

$$\begin{aligned} x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} r &= x_{n-2}^{\alpha_{n-2}} [\sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r)) x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} + p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)} x_n^{\alpha_n} + x_{n-1}^{\alpha_{n-1}} p_{\alpha_n, r}] \\ &= x_{n-2}^{\alpha_{n-2}} \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r)) x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} + x_{n-2}^{\alpha_{n-2}} p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)} x_n^{\alpha_n} \\ &+ x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} p_{\alpha_n, r} \\ &= [\sigma_{n-2}^{\alpha_{n-2}} (\sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))) x_{n-2}^{\alpha_{n-2}} + p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))}] x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} \\ &+ x_{n-2}^{\alpha_{n-2}} p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)} x_n^{\alpha_n} + x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} p_{\alpha_n, r}, \end{aligned}$$

where  $p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))} = 0$ , or  $\deg(p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))}) < \alpha_{n-2}$  if we have  $p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))} \neq 0$ . Then

$$\begin{aligned} x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} r &= \sigma_{n-2}^{\alpha_{n-2}} (\sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))) x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} + p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} \\ &+ x_{n-2}^{\alpha_{n-2}} p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)} x_n^{\alpha_n} + x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} p_{\alpha_n, r}, \end{aligned}$$

where

$$\begin{aligned} \exp(p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n}) &= (\deg(p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))}), \alpha_{n-1}, \alpha_n) \\ \exp(x_{n-2}^{\alpha_{n-2}} p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)} x_n^{\alpha_n}) &= (\alpha_{n-2}, \deg(p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)}), \alpha_n) \\ \exp(x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} p_{\alpha_n, r}) &= (\alpha_{n-2}, \alpha_{n-1}, \deg(p_{\alpha_n, r})), \end{aligned}$$

with degrees  $\deg(p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n})$ ,  $\deg(x_{n-2}^{\alpha_{n-2}} p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)} x_n^{\alpha_n})$ , and  $\deg(x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} p_{\alpha_n, r})$  less than  $\alpha_{n-2} + \alpha_{n-1} + \alpha_n$ . It is clear that

$$\text{lc}(p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n}) = \text{lc}(p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))}),$$

and by (2) and (4),

$$\begin{aligned} \text{lc}(p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}}(\sigma_n^{\alpha_n}(r))}) &= \sum_{p=1}^{\alpha_{n-2}} \sigma_{n-2}^{\alpha_{n-2}-p} (\delta_{n-2}(\sigma_{n-2}^{p-1}(\sigma_{n-1}^{\alpha_{n-1}}(\sigma_n^{\alpha_n}(r))))), \\ \text{lc}(x_{n-2}^{\alpha_{n-2}} p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)} x_n^{\alpha_n}) &= \sigma_{n-2}^{\alpha_{n-2}} \left( \sum_{p=1}^{\alpha_{n-1}} \sigma_{n-1}^{\alpha_{n-1}-p} (\delta_{n-1}(\sigma_{n-1}^{p-1}(\sigma_n^{\alpha_n}(r)))) \right) \\ &= \sum_{p=1}^{\alpha_{n-1}} \sigma_{n-2}^{\alpha_{n-2}} (\sigma_{n-1}^{\alpha_{n-1}-p} (\delta_{n-1}(\sigma_{n-1}^{p-1}(\sigma_n^{\alpha_n}(r))))), \\ \text{lc}(x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} p_{\alpha_n, r}) &= \sigma_{n-2}^{\alpha_{n-2}} \left( \sigma_{n-1}^{\alpha_{n-1}} \left( \sum_{p=1}^{\alpha_n} \sigma_n^{\alpha_n-p} (\delta_n(\sigma_n^{p-1}(r))) \right) \right) \\ &= \sum_{p=1}^{\alpha_n} \sigma_{n-2}^{\alpha_{n-2}} (\sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n-p} (\delta_n(\sigma_n^{p-1}(r))))). \end{aligned}$$

Then the term  $x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} r$  can be written as

$$\begin{aligned} &\sigma_{n-2}^{\alpha_{n-2}} (\sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n}(r))) x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} \\ &+ \left[ \sum_{p=1}^{\alpha_{n-2}} \sigma_{n-2}^{\alpha_{n-2}-p} (\delta_{n-2}(\sigma_{n-2}^{p-1}(\sigma_{n-1}^{\alpha_{n-1}}(\sigma_n^{\alpha_n}(r)))) \right] x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} \\ &+ \left[ \sum_{p=1}^{\alpha_{n-1}} \sigma_{n-2}^{\alpha_{n-2}} (\sigma_{n-1}^{\alpha_{n-1}-p} (\delta_{n-1}(\sigma_{n-1}^{p-1}(\sigma_n^{\alpha_n}(r)))) \right] x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} \\ &+ \left[ \sum_{p=1}^{\alpha_n} \sigma_{n-2}^{\alpha_{n-2}} (\sigma_{n-1}^{\alpha_{n-1}} (\sigma_n^{\alpha_n-p} (\delta_n(\sigma_n^{p-1}(r)))) \right] x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} \\ &+ \text{other terms of degree less than } \deg(p_{\alpha_{n-2}, \sigma_{n-1}^{\alpha_{n-1}}(\sigma_n^{\alpha_n}(r))) + \alpha_{n-1} + \alpha_n \\ &+ \text{other terms of degree less than } \alpha_{n-2} + \deg(p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)}) + \alpha_n \\ &+ \text{other terms of degree less than } \alpha_{n-2} + \alpha_{n-1} + \deg(p_{\alpha_n, r}). \end{aligned}$$

Continuing in this way we can see that for  $n$  variables we have

$$\begin{aligned} x_1^{\alpha_1} x_2^{\alpha_2} \dots x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} r &= \sigma_1^{\alpha_1} (\dots (\sigma_n^{\alpha_n}(r))) x_1^{\alpha_1} x_2^{\alpha_2} \dots x_n^{\alpha_n} \\ &+ p_{\alpha_1, \sigma_2^{\alpha_2}} (\dots (\sigma_n^{\alpha_n}(r))) x_1^{\alpha_1} x_2^{\alpha_2} \dots x_n^{\alpha_n} \\ &+ x_1^{\alpha_1} p_{\alpha_2, \sigma_3^{\alpha_3}} (\dots (\sigma_n^{\alpha_n}(r))) x_2^{\alpha_2} \dots x_n^{\alpha_n} \\ &+ x_1^{\alpha_1} x_2^{\alpha_2} p_{\alpha_3, \sigma_4^{\alpha_4}} (\dots (\sigma_n^{\alpha_n}(r))) x_3^{\alpha_3} \dots x_n^{\alpha_n} \\ &+ \dots + x_1^{\alpha_1} x_2^{\alpha_2} \dots x_{n-2}^{\alpha_{n-2}} p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)} x_n^{\alpha_n} \\ &+ x_1^{\alpha_1} \dots x_{n-1}^{\alpha_{n-1}} p_{\alpha_n, r}. \end{aligned}$$



Considering the leading coefficients of  $x_1^{\alpha_1} \cdots x_n^{\alpha_n} r$  we can write this term as

$$\begin{aligned}
 &= \sigma_1^{\alpha_1}(\cdots(\sigma_n^{\alpha_n}(r)))x_1^{\alpha_1} \cdots x_n^{\alpha_n} \\
 &+ \left[ \sum_{p=1}^{\alpha_1} \sigma_1^{\alpha_1-p}(\delta_1(\sigma_1^{p-1}(\sigma_2^{\alpha_2}(\sigma_3^{\alpha_3}(\cdots(\sigma_n^{\alpha_n}(r))))))) \right] x_1^{\deg(p_{\alpha_1, \sigma_2^{\alpha_2}(\cdots(\sigma_n^{\alpha_n}(r)))})} x_2^{\alpha_2} \cdots x_n^{\alpha_n} \\
 &+ \left[ \sum_{p=1}^{\alpha_2} \sigma_1^{\alpha_1}(\sigma_2^{\alpha_2-p}(\delta_2(\sigma_2^{p-1}(\sigma_3^{\alpha_3}(\cdots(\sigma_n^{\alpha_n}(r))))))) \right] x_1^{\alpha_1} x_2^{\deg(p_{\alpha_2, \sigma_3^{\alpha_3}(\cdots(\sigma_n^{\alpha_n}(r)))})} x_3^{\alpha_3} \cdots x_n^{\alpha_n} \\
 &+ \left[ \sum_{p=1}^{\alpha_3} \sigma_1^{\alpha_1}(\sigma_2^{\alpha_2}(\sigma_3^{\alpha_3-p}(\delta_3(\sigma_3^{p-1}(\sigma_4^{\alpha_4}(\cdots(\sigma_n^{\alpha_n}(r))))))) \right] x_1^{\alpha_1} x_2^{\alpha_2} x_3^{\deg(p_{\alpha_3, \sigma_4^{\alpha_4}(\cdots(\sigma_n^{\alpha_n}(r)))})} x_4^{\alpha_4} \cdots x_n^{\alpha_n} \\
 &+ \cdots + \left[ \sum_{p=1}^{\alpha_{n-1}} \sigma_1^{\alpha_1}(\cdots(\sigma_{n-2}^{\alpha_{n-2}}(\sigma_{n-1}^{\alpha_{n-1}-p}(\delta_{n-1}(\sigma_{n-1}^{p-1}(\sigma_n^{\alpha_n}(r))))))) \right] x_1^{\alpha_1} \cdots x_{n-2}^{\alpha_{n-2}} x_{n-1}^{\deg(p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)})} x_n^{\alpha_n} \\
 &+ \left[ \sum_{p=1}^{\alpha_n} \sigma_1^{\alpha_1}(\cdots(\sigma_{n-1}^{\alpha_{n-1}}(\sigma_n^{\alpha_n-p}(\delta_n(\sigma_n^{p-1}(r)))))) \right] x_1^{\alpha_1} \cdots x_{n-1}^{\alpha_{n-1}} x_n^{\deg(p_{\alpha_n, r})} \\
 &+ \text{other terms of degree less than } \deg(p_{\alpha_1, \sigma_2^{\alpha_2}(\cdots(\sigma_n^{\alpha_n}(r)))}) + \alpha_2 + \cdots + \alpha_n \\
 &+ \text{other terms of degree less than } \alpha_1 + \deg(p_{\alpha_2, \sigma_3^{\alpha_3}(\cdots(\sigma_n^{\alpha_n}(r)))}) + \alpha_3 + \cdots + \alpha_n \\
 &+ \text{other terms of degree less than } \alpha_1 + \alpha_2 + \deg(p_{\alpha_3, \sigma_4^{\alpha_4}(\cdots(\sigma_n^{\alpha_n}(r)))}) + \alpha_4 + \cdots + \alpha_n \\
 &\vdots \\
 &+ \text{other terms of degree less than } \alpha_1 + \cdots + \alpha_{n-2} + \deg(p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)}) + \alpha_n \\
 &+ \text{other terms of degree less than } \alpha_1 + \cdots + \alpha_{n-1} + \deg(p_{\alpha_n, r}).
 \end{aligned}$$

Therefore we can see that the polynomials  $p_{\alpha_1, \sigma_2^{\alpha_2}(\cdots(\sigma_n^{\alpha_n}(r)))}$ ,  $p_{\alpha_2, \sigma_3^{\alpha_3}(\cdots(\sigma_n^{\alpha_n}(r)))}$ ,  $p_{\alpha_3, \sigma_4^{\alpha_4}(\cdots(\sigma_n^{\alpha_n}(r)))}$ ,  $\dots$ ,  $p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)}$ , and  $p_{\alpha_n, r}$  in the expression above for the term  $x_1^{\alpha_1} x_2^{\alpha_2} \cdots x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n} r$ , involve elements obtained evaluating  $\sigma$ 's and  $\delta$ 's in the element  $r$  of  $R$ .

(iv) Consider the product  $a_i X_i b_j Y_j$ . If  $X_i := x_1^{\alpha_i 1} \cdots x_n^{\alpha_i n}$  and  $Y_j := x_1^{\beta_j 1} \cdots x_n^{\beta_j n}$ . Then

$$\begin{aligned}
 a_i X_i b_j Y_j &= a_i \sigma^{\alpha_i}(b_j) x^{\alpha_i} x^{\beta_j} + a_i p_{\alpha_{i1}, \sigma_{i2}^{\alpha_{i2}}(\cdots(\sigma_{in}^{\alpha_{in}}(b)))} x_2^{\alpha_{i2}} \cdots x_n^{\alpha_{in}} x^{\beta_j} \\
 &+ a_i x_1^{\alpha_{i1}} p_{\alpha_{i2}, \sigma_{i3}^{\alpha_{i3}}(\cdots(\sigma_{in}^{\alpha_{in}}(b)))} x_3^{\alpha_{i3}} \cdots x_n^{\alpha_{in}} x^{\beta_j} \\
 &+ a_i x_1^{\alpha_{i1}} x_2^{\alpha_{i2}} p_{\alpha_{i3}, \sigma_{i4}^{\alpha_{i4}}(\cdots(\sigma_{in}^{\alpha_{in}}(b)))} x_4^{\alpha_{i4}} \cdots x_n^{\alpha_{in}} x^{\beta_j} \\
 &+ \cdots + a_i x_1^{\alpha_{i1}} x_2^{\alpha_{i2}} \cdots x_{i(n-2)}^{\alpha_{i(n-2)}} p_{\alpha_{i(n-1)}, \sigma_{in}^{\alpha_{in}}(b)} x_n^{\alpha_{in}} x^{\beta_j} \\
 &+ a_i x_1^{\alpha_{i1}} \cdots x_{i(n-1)}^{\alpha_{i(n-1)}} p_{\alpha_{in}, b} x^{\beta_j}.
 \end{aligned}$$

As we saw above, the polynomials  $p_{\alpha_1, \sigma_2^{\alpha_2}(\cdots(\sigma_n^{\alpha_n}(r)))}$ ,  $p_{\alpha_2, \sigma_3^{\alpha_3}(\cdots(\sigma_n^{\alpha_n}(r)))}$ ,  $p_{\alpha_3, \sigma_4^{\alpha_4}(\cdots(\sigma_n^{\alpha_n}(r)))}$ ,  $\dots$ ,  $p_{\alpha_{n-1}, \sigma_n^{\alpha_n}(r)}$ , and  $p_{\alpha_n, r}$ , involve elements of  $R$  obtained evaluating  $\sigma_j$  and  $\delta_j$  in the element  $r$  of  $R$ . So, when we compute every summand of  $a_i X_i b_j Y_j$  we obtain products of the coefficient  $a_i$  with several evaluations of  $b_j$  in  $\sigma$ 's and  $\delta$ 's depending of the coordinates of  $\alpha_i$ .

### 3. $\Sigma$ -Rigid, Baer, quasi-Baer, p.p. and p.q.-rings

There are important examples of Baer rings which motivate the study of this notion. For instance, von Neumann algebras (e.g., the algebra of all bounded operators on a Hilbert

space), the commutative  $C^*$ -algebra  $C(T)$  of continuous complex valued functions on a Stonian space, and others, are remarkable examples in several contexts of mathematics. Concerning ring theory, it is important to say that the class of Baer rings does not contain the class of prime rings (these rings are quasi-Baer [5]) and is not closed under extensions to matrix rings or triangular matrix rings. In the case of Ore extensions, the Baerness and quasi-Baerness of a ring  $B$  do not inherit the Ore extension of  $B$ . More exactly, there are examples which show that there exists a Baer ring  $B$  but the Ore extension  $B[x; \sigma, \delta]$  is not right p.q.-Baer; similarly, there exist Ore extensions  $B[x; \sigma, \delta]$  which are quasi-Baer, but  $B$  is not quasi-Baer. In general, the Baerness of  $B$  and  $B[x; \sigma, \delta]$  does not depend on each other (see [13], Examples 8, 9 and 10). Since Ore extensions of injective type are particular examples of skew PBW extensions, the concepts of Baer, quasi-Baer, and p.p. and p.q. are interesting for the ring theoretical study of skew PBW extensions. Hence, in this section we generalize the results presented in [13] with the purpose of establishing necessary and sufficient conditions to guarantee that these concepts are stable under skew PBW extensions.

We recall some well-known facts. For a nonempty subset  $D$  of a ring  $B$ , we write  $r_B(D) = \{c \in B \mid Dc = 0\}$  and  $l_B(D) = \{c \in B \mid cD = 0\}$ , which are called the *right annihilator* of  $D$  in  $B$  and the *left annihilator* of  $D$  in  $B$ , respectively. We recall that a ring  $B$  is *reduced* if  $B$  has no nonzero nilpotent elements, and a ring  $B$  is called *abelian* if every idempotent is central. Reduced rings are abelian and also semiprime (that is, its prime radical is trivial), see [3].

We start with the following important result about reduced rings.

**Lemma 3.1** ([13], Lemma 1). *Let  $B$  be a reduced ring. Then the following statements are equivalent:*

- (i)  $B$  is a right p.p.-ring;
- (ii)  $B$  is a p.p.-ring;
- (iii)  $B$  is a right p.q.-Baer ring;
- (iv)  $B$  is a p.q.-Baer ring.

For a ring  $B$  with a ring endomorphism  $\sigma : B \rightarrow B$ , an  $\sigma$ -derivation  $\delta : B \rightarrow B$ , considering the Ore extension  $B[x; \sigma, \delta]$ , Krempa in [16] defined  $\sigma$  as a *rigid endomorphism* if  $b\sigma(b) = 0$  implies  $b = 0$  for  $b \in B$ . Krempa called  $B$   $\sigma$ -rigid if there exists a rigid endomorphism  $\sigma$  of  $B$ . Since Ore extensions of injective type are particular examples of skew PBW extensions, we present the following definition with the purpose of studying the notion of *rigidness* for these extensions.

**Definition 3.2.** Let  $B$  be a ring and  $\Sigma$  a family of endomorphisms of  $B$ .  $\Sigma$  is called a *rigid endomorphisms family* if  $r\sigma^\alpha(r) = 0$  implies  $r = 0$  for every  $r \in B$  and  $\alpha \in \mathbb{N}^n$ . A ring  $B$  is called to be  $\Sigma$ -rigid if there exists a rigid endomorphisms family  $\Sigma$  of  $B$ .

Note that if  $\Sigma$  is a rigid endomorphisms family, then every element  $\sigma_i \in \Sigma$  is a monomorphism. In fact,  $\Sigma$ -rigid rings are reduced rings: if  $B$  is a  $\Sigma$ -rigid ring and  $r^2 = 0$  for  $r \in B$ , then  $0 = r\sigma^\alpha(r^2)\sigma^\alpha(\sigma^\alpha(r)) = r\sigma^\alpha(r)\sigma^\alpha(r)\sigma^\alpha(\sigma^\alpha(r)) = r\sigma^\alpha(r)\sigma^\alpha(r\sigma^\alpha(r))$ , i.e.,

$r\sigma^\alpha(r) = 0$  and so  $r = 0$ , that is,  $B$  is reduced (note that there exists an endomorphism of a reduced ring which is not a rigid endomorphism, see [13], Example 9). With this in mind, we consider the family of injective endomorphisms  $\Sigma$  and the family  $\Delta$  of  $\Sigma$ -derivations in a skew PBW extension  $A$  of a ring  $R$  (see Remark 2.4).

**Lemma 3.3.** *Let  $B$  be an  $\Sigma$ -rigid ring and  $a, b \in B$ . Then:*

- (i) *If  $ab = 0$  then  $a\sigma^\alpha(b) = \sigma^\alpha(a)b = 0$  for  $\alpha \in \mathbb{N}^n$ .*
- (ii) *If  $ab = 0$  then  $a\delta^\beta(b) = \delta^\beta(a)b = 0$  for  $\beta \in \mathbb{N}^n$ .*
- (iii) *If  $ab = 0$  then  $a\sigma^\alpha(\delta^\beta(b)) = a\delta^\beta(\sigma^\alpha(b)) = 0$  for every  $\alpha, \beta \in \mathbb{N}^n$ .*
- (iv) *If  $a\sigma^\theta(b) = \sigma^\theta(a)b = 0$  for some  $\theta \in \mathbb{N}^n$ , then  $ab = 0$ .*

*Proof.* We follow the ideas presented in [13], Lemma 4.

- (i) It is enough to show that if  $ab = 0$ , then  $a\sigma_i(b) = \sigma_i(a)b = 0$  for every  $1 \leq i \leq n$ . Consider the expression  $b\sigma_i(a)\sigma_i(b\sigma_i(a))$ . Since  $b\sigma_i(a)\sigma_i(b\sigma_i(a)) = b\sigma_i(a)\sigma_i(b)\sigma_i^2(a) = b\sigma_i(ab)\sigma_i^2(a) = 0$ , we have  $b\sigma_i(a) = 0$  ( $B$  is  $\Sigma$ -rigid). We know that  $B$  is reduced, which implies  $0 = \sigma_i(a)b\sigma_i(a)b = (\sigma_i(a)b)^2$  so  $\sigma_i(a)b = 0$ . Now, since we know that  $ba = 0$  (from  $(ba)^2 = baba = 0$  we have  $ba = 0$ ), consider the expression  $a\sigma_i(b)\sigma_i(a\sigma_i(b))$ . Since  $a\sigma_i(b)\sigma_i(a\sigma_i(b)) = a\sigma_i(b)\sigma_i(a)\sigma_i^2(b) = a\sigma_i(ba)\sigma_i^2(b) = 0$ , then  $a\sigma_i(b) = 0$ .
- (ii) Again, it is enough to prove that if  $ab = 0$ , then  $a\delta_i(b) = \delta_i(a)b = 0$  for every  $1 \leq i \leq n$ . If  $ab = 0$ ,  $0 = \delta_i(ab) = \sigma_i(a)\delta_i(b) + \delta_i(a)b$ , so  $-\delta_i(a)b = \sigma_i(a)\delta_i(b)$ , whence  $-\delta_i(a)b\sigma_i(a)\delta_i(b) = [\sigma_i(a)\delta_i(b)]^2$ . From (i) we can see that  $b\sigma_i(a) = 0$ , so  $[\sigma_i(a)\delta_i(b)]^2 = 0$  and hence  $\sigma_i(a)\delta_i(b) = 0$  ( $B$  is reduced), so  $\delta_i(a)b = 0$ . Similarly, since  $ba = 0$ , then  $\delta_i(ba) = \sigma_i(b)\delta_i(a) + \delta_i(b)a = 0$ , i.e.,  $\sigma_i(b)\delta_i(a) = -\delta_i(b)a$ , that is,  $(\sigma_i(b)\delta_i(a))^2 = -\delta_i(b)a\sigma_i(b)\delta_i(a) = 0$  ( $a\sigma_i(b) = 0$  by (i)). Then  $\sigma_i(b)\delta_i(a) = 0$ , which imply  $\delta_i(b)a = 0$ . Hence,  $a\delta_i(b)a\delta_i(b) = (a\delta_i(b))^2 = 0$ , i.e.,  $a\delta_i(b) = 0$ .
- (iii) The assertion follows from (i) and (ii).
- (iv) Suppose that  $a\sigma^\theta(b) = 0$  for some  $\theta \in \mathbb{N}^n$ . Then by (i) we have  $\sigma^\theta(ab) = \sigma^\theta(a)\sigma^\theta(b) = 0$ . Since  $\sigma^\theta$  is injective,  $ab = 0$ . Similarly, if  $\sigma^\theta(a)b = 0$  for some  $\theta \in \mathbb{N}^n$ , then  $ab = 0$ . □

We have the following preliminary result.

**Corollary 3.4.** *Suppose that  $A$  is a skew PBW extension of a ring  $R$ . If  $R$  is  $\Sigma$ -rigid and  $ab = 0$  for  $a, b \in R$ , then we obtain  $ax^\alpha bx^\beta = 0$  in  $A$  for any  $\alpha, \beta \in \mathbb{N}^n$ .*

*Proof.* The assertion follows from Remark 2.10 (iv). □

**Proposition 3.5.** *Let  $R$  be a ring.  $R$  is  $\Sigma$ -rigid if and only if the bijective skew PBW extension  $A$  is a reduced ring. In this case,  $ex^\alpha = x^\alpha e$  for every  $\alpha \in \mathbb{N}$  and  $e = e^2 \in R$ .*

*Proof.* Let  $R$  be  $\Sigma$ -rigid and suppose that  $A$  is not reduced. Then there exists a non-zero element  $f \in A$  such that  $f^2 = 0$ . Since  $R$  is reduced,  $f \notin R$ . Following Definition 2.7, consider  $f = a_0 + a_1X_1 + \dots + a_mX_m$ ,  $a_i \in R$ ,  $0 \leq i \leq m$ ,  $a_m \neq 0$ , with  $X_i = x^{\alpha_i} = x_1^{\alpha_i^1} \dots x_n^{\alpha_i^n}$ , and  $X_m \succ X_{m-1} \succ \dots \succ X_1$ . By Theorem 2.8 (ii) we have

$$\begin{aligned} f^2 &= (a_mX_m + \dots + a_1X_1 + a_0)(a_mX_m + \dots + a_1X_1 + a_0) \\ &= a_mX_m a_m X_m + \text{other terms of order less than } X_m X_m \\ &= a_m[\sigma^{\alpha_m}(a_m)X_m + p_{\alpha_m, a_m}]X_m + \dots \\ &= a_m\sigma^{\alpha_m}(a_m)X_m X_m + a_m p_{\alpha_m, a_m} X_m + \dots \\ &= a_m\sigma^{\alpha_m}(a_m)[c_{\alpha_m, \alpha_m}x^{2\alpha_m} + p_{\alpha_m, \alpha_m}] + a_m p_{\alpha_m, a_m} X_m + \dots, \end{aligned}$$

where  $p_{\alpha_m, a_m} = 0$  or  $\deg(p_{\alpha_m, a_m}) < |\alpha_m|$  if  $p_{\alpha_m, a_m} \neq 0$ , and  $p_{\alpha_m, \alpha_m} = 0$  or  $\deg(p_{\alpha_m, \alpha_m}) < |\alpha_m + \alpha_m|$  if  $p_{\alpha_m, \alpha_m} \neq 0$ . From the equality  $\text{lc}(f^2) = a_m\sigma^{\alpha_m}(a_m)c_{\alpha_m, \alpha_m} = 0$  we obtain  $a_m\sigma^{\alpha_m}(a_m) = 0$  ( $A$  is bijective). Lemma 3.3 (iv) imply  $a_m^2 = 0$ , and so  $a_m = 0$  ( $R$  is reduced), which is a contradiction. Hence,  $A$  is reduced.

Conversely, since  $A$  is reduced,  $R$  is also reduced as a subring. Let us see that  $R$  is  $\Sigma$ -rigid. If  $a \in R$  and  $a\sigma^\alpha(a) = 0$ , then  $0 = \sigma^\alpha(a)x^\alpha a\sigma^\alpha(a)x^\alpha a = (\sigma^\alpha(a)x^\alpha a)^2$ , and so  $\sigma^\alpha(a)x^\alpha a = 0$ . Thus,  $0 = \sigma^\alpha(a)x^\alpha a = \sigma^\alpha(a)[\sigma^\alpha(a)x^\alpha + p_{\alpha, a}] = (\sigma^\alpha(a))^2x^\alpha + \sigma^\alpha(a)p_{\alpha, a}$ , with  $p_{\alpha, a} = 0$  or  $\deg(p_{\alpha, a}) < |\alpha|$  if  $p_{\alpha, a} \neq 0$  (Theorem 2.8). Hence  $(\sigma^\alpha(a))^2 = 0$ , that is,  $\sigma^\alpha(a) = 0$ . Now, since  $\sigma^\alpha$  is injective, we obtain  $a = 0$ , which shows that  $R$  is  $\Sigma$ -rigid.

Finally, let  $e$  be an idempotent in  $R$ . Since  $A$  is abelian,  $e$  is central and we have the equality  $ex_i = x_ie = \sigma_i(e)x_i + \delta_i(e)$ , whence  $e = \sigma_i(e)$  and  $\delta_i(e) = 0$ . More generally, for  $\alpha \in \mathbb{N}^n$ , we can see from Proposition 2.9 that  $ex^\alpha = x^\alpha e = \sigma^\alpha(e)x^\alpha + p_{\alpha, e}$ , and so  $e = \sigma^\alpha(e)$  and  $p_{\alpha, e} = 0$  for every element  $\alpha$  of  $\mathbb{N}^n$ . □

For the next proposition, suppose that the elements  $c_{i,j}$  in Definition 2.1 (iv) are in the center of  $R$ , that is, they commute with every element of  $R$ .

**Proposition 3.6.** *Suppose that  $R$  is an  $\Sigma$ -rigid ring. Let  $f = a_0 + a_1X_1 + \dots + a_mX_m$ ,  $g = b_0 + b_1Y_1 + \dots + b_tY_t$  be elements of a bijective skew PBW extension  $A$  of  $R$ . Then  $fg = 0$  if and only if  $a_i b_j = 0$  for all  $0 \leq i \leq m$ ,  $0 \leq j \leq t$ .*

*Proof.* Suppose that  $fg = 0$ . We have  $fg = (a_0 + a_1X_1 + \dots + a_mX_m)(b_0 + b_1Y_1 + \dots + b_tY_t) = \sum_{k=0}^{m+t} \left( \sum_{i+j=k} a_i X_i b_j Y_j \right)$ . Note that  $\text{lc}(fg) = a_m\sigma^{\alpha_m}(b_t)c_{\alpha_m, \beta_t} = 0$ . Since  $A$  is bijective,  $a_m\sigma^{\alpha_m}(b_t) = 0$ , and by Lemma 3.3 (iv),  $a_m b_t = 0$ . The idea is to prove that  $a_p b_q = 0$  for  $p + q \geq 0$ . We proceed by induction. Suppose that  $a_p b_q = 0$  for  $p + q = m + t, m + t - 1, m + t - 2, \dots, k + 1$  for some  $k > 0$ . By Corollary 3.4 we obtain  $a_p X_p b_q Y_q = 0$  for these values of  $p + q$ . In this way we only consider the sum of the products  $a_u X_u b_v Y_v$ , where  $u + v = k, k - 1, k - 2, \dots, 0$ . Fix  $u$  and  $v$ . Consider the sum of all terms of  $fg$  having exponent  $\alpha_u + \beta_v$ . By Proposition 2.9, Remark 2.10, and the assumption  $fg = 0$ , we know that the sum of all coefficients of all these terms can be written as

$$a_u\sigma^{\alpha_u}(b_v)c_{\alpha_u, \beta_v} + \sum_{\alpha_{u'} + \beta_{v'} = \alpha_u + \beta_v} a_{u'}\sigma^{\alpha_{u'}}(\sigma\text{'s and } \delta\text{'s evaluated in } b_{v'})c_{\alpha_{u'}, \beta_{v'}} = 0. \tag{5}$$

By assumption we know that  $a_p b_q = 0$  for  $p + q = m + t, m + t - 1, \dots, k + 1$ . So, Lemma 3.3 (iii) guarantees that the product

$$a_p(\sigma's \text{ and } \delta's \text{ evaluated in } b_q) \quad (\text{any order of } \sigma's \text{ and } \delta's)$$

is equal to zero. Then  $[(\sigma's \text{ and } \delta's \text{ evaluated in } b_q)a_p]^2 = 0$  and hence we obtain the equality  $(\sigma's \text{ and } \delta's \text{ evaluated in } b_q)a_p = 0$  ( $R$  is reduced). In this way, multiplying (5) by  $a_k$ , and using the fact that the elements  $c_{i,j}$  in Definition 2.1 (iv) are in the center of  $R$ ,

$$a_u \sigma^{\alpha_u}(b_v) a_k c_{\alpha_u, \beta_v} + \sum_{\alpha_{u'} + \beta_{v'} = \alpha_u + \beta_v} a_{u'} \sigma^{\alpha_{u'}} (\sigma's \text{ and } \delta's \text{ evaluated in } b_{v'}) a_k c_{\alpha_{u'}, \beta_{v'}} = 0, \tag{6}$$

whence,  $a_u \sigma^{\alpha_u}(b_0) a_k = 0$ . Since  $u + v = k$  and  $v = 0$ , then  $u = k$ , so  $a_k \sigma^{\alpha_k}(b_0) a_k = 0$ , i.e.,  $[a_k \sigma^{\alpha_k}(b_0)]^2 = 0$ , from which  $a_k \sigma^{\alpha_k}(b_0) = 0$  and  $a_k b_0 = 0$  by Lemma 3.3 (iv). Therefore, we now have to study the expression (5) for  $0 \leq u \leq k - 1$  and  $u + v = k$ . If we multiply (6) by  $a_{k-1}$  we obtain

$$a_u \sigma^{\alpha_u}(b_v) a_{k-1} c_{\alpha_u, \beta_v} + \sum_{\alpha_{u'} + \beta_{v'} = \alpha_u + \beta_v} a_{u'} \sigma^{\alpha_{u'}} (\sigma's \text{ and } \delta's \text{ evaluated in } b_{v'}) a_{k-1} c_{\alpha_{u'}, \beta_{v'}} = 0.$$

Using a similar reasoning as above, we can see that  $a_u \sigma^{\alpha_u}(b_1) a_{k-1} c_{\alpha_u, \beta_1} = 0$ . Since  $A$  is bijective,  $a_u \sigma^{\alpha_u}(b_1) a_{k-1} = 0$ , and using the fact  $u = k - 1$ , we have  $[a_{k-1} \sigma^{\alpha_{k-1}}(b_1)] = 0$ , which imply  $a_{k-1} \sigma^{\alpha_{k-1}}(b_1) = 0$ , that is,  $a_{k-1} b_1 = 0$ . Continuing in this way we prove that  $a_i b_j = 0$  for  $i + j = k$ . Therefore  $a_i b_j = 0$  for  $1 \leq i \leq m$  and  $1 \leq j \leq t$ .

The converse follows from Corollary 3.4. □

**Corollary 3.7.** *Suppose that  $R$  is an  $\Sigma$ -rigid ring. If  $e^2 = e \in A$ , where  $e = e_0 + e_1 X_1 + \dots + e_m X_m$ , then  $e = e_0$ .*

*Proof.* Consider the equality  $1 - e = (1 - e_0) - \sum_{i=1}^m e_i X_i$ . By assumption  $e(1 - e) = 0$ , and then Proposition 3.6 implies  $e_0(1 - e_0) = 0$  and  $e_i^2 = 0$  for all  $1 \leq i \leq m$ . Since  $R$  is reduced,  $e_i = 0$  for every  $1 \leq i \leq m$ , which shows that  $e = e_0 = e_0^2 \in R$ . □

**Remark 3.8.** Proposition 3.6 establishes a relation between  $\Sigma$ -rigid rings and a *skew* notion of Armendariz rings. It is to be expected some stable relations between these rings and skew PBW extensions generalizing the case developed for Ore extensions of injective type presented in [19]. However, since this topic exceeds the scope and the size of this paper, in a forthcoming paper we will establish some results about this property for skew PBW extensions.

Next we prove one of the key results of this paper.

**Theorem 3.9.** *Let  $R$  be an  $\Sigma$ -rigid ring. Then  $R$  is a Baer ring if and only if  $A$  is a Baer ring.*

*Proof.* Suppose that  $R$  is a Baer ring. Let  $S$  be a nonempty subset of  $A$  and  $S^*$  be the set of all coefficients of elements of  $S$ . Then  $S^*$  is nonempty subset of  $R$  and so  $r_R(S^*) = eR$  for some idempotent  $e$  of  $R$ . Since  $e \in r_A(S)$ , we get  $eA \subseteq r_A(S)$ . Now,

let  $0 \neq g = b_0 + b_1X_1 + \dots + b_mX_m \in r_A(S)$ . Then  $Sg = 0$  and so  $fg = 0$  for any  $f \in S$ . In this way  $b_0, b_1, \dots, b_m \in r_R(S^*) = eR$  by Proposition 3.6. Then there exist  $c_0, c_1, \dots, c_m \in R$  with  $g = ec_0 + ec_1X_1 + \dots + ec_mX_m = e(c_0 + c_1x + \dots + c_mX_m) \in eA$ . Hence  $eA = r_A(S)$ , that is,  $A$  is Baer.

Now, assume that  $A$  is Baer. Let  $B$  be a nonempty subset of  $R$ . Then  $r_A(B) = eA$  for some idempotent  $e \in R$  by Corollary 3.7. Therefore  $r_R(B) = r_A(B) \cap R = eA \cap R = eR$ , which shows that  $R$  is Baer. □

Birkenmeier in [3], Lemma 1, establishes that if  $B$  is a reduced ring, then  $B$  is quasi-Baer if and only if  $B$  is an abelian Baer ring. This fact together with Proposition 3.5 and Theorem 3.9 guarantee the following result about skew PBW extensions of quasi-Baer rings.

**Theorem 3.10.** *Let  $R$  be an  $\Sigma$ -rigid ring. Then  $R$  is a quasi-Baer ring if and only if  $A$  is a quasi-Baer ring.*

**Remark 3.11.** (i) ([9], Example 2.8). Let  $B = \mathbb{k}[t]$  be the polynomial ring over a field  $\mathbb{k}$  and  $\sigma$  be the endomorphism given by  $\sigma(f(t)) = f(0)$ . Then  $B$  is quasi-Baer but the ring  $B[x; \sigma]$  is not a quasi-Baer ring. This example shows that the assumption on  $R$  (injective endomorphisms due to  $\Sigma$ -rigid) is not a superfluous condition in Theorem 3.10 (and, of course, Propositions 3.5 and 3.6). Another examples which show the importance of rigidness of  $R$  can be found in [13], Examples 9 and 10 (1).

(ii) ([2], Example 11). There is a ring  $B$  and a derivation  $\delta$  of  $B$  such that  $B[x; \delta]$  is a Baer ring but  $B$  is not quasi-Baer. Let  $B = \mathbb{Z}_2[t]/\langle t^2 \rangle$ , with the derivation  $\delta$  such that  $\delta(\bar{t}) = 1$  where  $\bar{t} = t + \langle t^2 \rangle$  in  $B$ , and  $\mathbb{Z}_2[t]$  is the polynomial ring over the field  $\mathbb{Z}_2$  of two elements. Consider the Ore extension  $B[x; \delta]$ . If we set  $e_{11} = \bar{t}x$ ,  $e_{12} = \bar{t}$ ,  $e_{21} = \bar{t}x^2 + x$ , and  $e_{22} = 1 + \bar{t}x$  in  $B[x; \delta]$ , then they form a system of matrix units in  $B[x; \delta]$ . Now the centralizer of these matrix units in  $B[x; \delta]$  is  $\mathbb{Z}_2[x^2]$ . Therefore  $B[x; \delta] \cong M_2(\mathbb{Z}_2[x^2]) \cong M_2(\mathbb{Z}_2)[y]$ , where  $M_2(\mathbb{Z}_2)[y]$  is the polynomial ring over  $M_2(\mathbb{Z}_2)$ . So the ring  $B[x; \delta]$  is a Baer ring, but  $B$  is not quasi-Baer.

(iii) Since prime rings are quasi-Baer, if  $A$  is a bijective skew PBW extension of a prime ring  $R$ , then  $A$  is prime ([24], Proposition 3.3 or [18], Corollary 4.2) and hence quasi-Baer.

From [13], Example 10 (2), we know that there exists a right p.p.-ring  $B$  such that  $B[x; \sigma, \delta]$  is not a right p.p.-ring. This observation motives the next result for skew PBW extensions of p.p.-rings.

**Theorem 3.12.** *Let  $R$  be an  $\Sigma$ -rigid ring. Then  $R$  is a p.p.-ring if and only if  $A$  is a p.p.-ring.*

*Proof.* Suppose that  $R$  is a p.p.-ring. Let  $f = a_0 + a_1X_1 + \dots + a_mX_m \in A$ . There exists an idempotent  $e_i \in R$  with  $r_R(a_i) = e_iR$  for  $i = 0, 1, \dots, m$ . Let  $e := e_0e_1 \dots e_m$ . Since every  $e_i$  is central,  $e^2 = e$ , and besides we can see that  $eR = \bigcap_{i=0}^m r_R(a_i)$ . By Proposition 3.5, we know that  $fe = a_0e + a_1X_1e + \dots + a_mX_me = a_0e + a_1eX_1 + \dots + a_meX_m = 0$ . In this way,  $eA \subseteq r_A(f)$ . Now, let  $g = b_0 + b_1X_1 + \dots + X_t \in r_A(f)$ . Using the fact  $fg = 0$ , Proposition 3.6 implies  $a_ib_j = 0$  for  $0 \leq i \leq m$  and  $0 \leq j \leq t$ . Hence

$b_j \in e_0 e_1 \cdots e_m R = eR$  for all  $j$ , which shows  $g \in eA$ . Therefore the equality  $eA = r_A(f)$  is proved, that is,  $A$  is a p.p.-ring.

Now, suppose that  $A$  is a p.p.-ring, and consider an element  $r \in R$ . Then there exists an idempotent  $e \in A$  with  $r_A(r) = eA$ , and by Corollary 3.7 we know that  $e \in R$ , so  $r_R(r) = eR$ , that is,  $R$  is a p.p.-ring.  $\square$

Lemma 3.1, Proposition 3.5, and Theorem 3.12 imply the following result about skew PBW extensions of p.q.-Baer rings.

**Theorem 3.13.** *Let  $R$  be an  $\Sigma$ -rigid ring. Then  $R$  is a p.q.-Baer ring if and only if  $A$  is a p.q.-Baer ring.*

**Remark 3.14.** In Propositions 3.5 and 3.6 we do not assume that the injective endomorphisms  $\sigma_i$  of  $\Sigma$  are bijective, that is, we only use the fact that the elements  $c_{i,j}$  are invertible. In this way, Theorems 3.9, 3.10, 3.12, and 3.13 are valid for general skew PBW extensions satisfying these conditions on the elements  $c_{i,j}$ .

### 4. Examples

In this section we present some remarkable examples of skew PBW extensions which can not be expressed as Ore extensions (a more complete list can be found in [17] or [23]).

- (a) Let  $k$  be a commutative ring and  $\mathfrak{g}$  a finite dimensional Lie algebra over  $k$  with basis  $\{x_1, \dots, x_n\}$ . The *universal enveloping algebra* of  $\mathfrak{g}$ , denoted  $\mathcal{U}(\mathfrak{g})$ , is a skew PBW extension of  $k$  (see [17]), since  $x_i r - r x_i = 0$ ,  $x_i x_j - x_j x_i = [x_i, x_j] \in \mathfrak{g} = k + kx_1 + \cdots + kx_n$ ,  $r \in k$ , for  $1 \leq i, j \leq n$ . In particular, the *universal enveloping algebra of a Kac-Moody Lie algebra* is a skew PBW extension of a polynomial ring.
- (b) The *universal enveloping ring*  $\mathcal{U}(V, R, \mathbb{k})$  introduced by Passman [22], where  $R$  is a  $\mathbb{k}$ -algebra, and  $V$  is a  $\mathbb{k}$ -vector space which is also a Lie ring containing  $R$  and  $\mathbb{k}$  as Lie ideals with suitable relations. The enveloping ring  $\mathcal{U}(V, R, \mathbb{k})$  is a finite skew PBW extension of  $R$  if  $\dim_{\mathbb{k}}(V/R)$  is finite.
- (c) Let  $k, \mathfrak{g}, \{x_1, \dots, x_n\}$  and  $\mathcal{U}(\mathfrak{g})$  be as in the previous example; let  $R$  be a  $k$ -algebra containing  $k$ . The *tensor product*  $A := R \otimes_k \mathcal{U}(\mathfrak{g})$  is a skew PBW extension of  $R$ , and it is a particular case of *crossed product*  $R * \mathcal{U}(\mathfrak{g})$  of  $R$  by  $\mathcal{U}(\mathfrak{g})$ , which is a skew PBW extension of  $R$  (see [20]).
- (d) The *twisted or smash product differential operator ring*  $R \#_{\sigma} \mathcal{U}(\mathfrak{g})$ , where  $\mathfrak{g}$  is a finite-dimensional Lie algebra acting on  $R$  by derivations, and  $\sigma$  is Lie 2-cocycle with values in  $R$ .
- (e) Diffusion algebras arise in physics as a possible way to understand a large class of 1-dimensional stochastic process. A *diffusion algebra* (see [12])  $\mathcal{A}$  with parameters  $a_{ij} \in \mathbb{C} \setminus \{0\}, 1 \leq i, j \leq n$ , is an algebra over  $\mathbb{C}$  generated by variables  $x_1, \dots, x_n$  subject to relations

$$a_{ij} x_i x_j - b_{ij} x_j x_i = r_j x_i - r_i x_j, \tag{7}$$

whenever  $i < j$ ,  $b_{ij}, r_i \in \mathbb{C}$  for all  $i < j$ .  $\mathcal{A}$  admits a PBW-basis of standard monomials  $x_1^{i_1} \cdots x_n^{i_n}$ , that is,  $\mathcal{A}$  is a diffusion algebra if these standard monomials

are a  $\mathbb{C}$ -vector space basis for  $\mathcal{A}$ . From Definition 2.1, (iii) and (iv), it is clear that the family of skew PBW extensions are more general than diffusion algebras.

Following [12], p. 22, “in the applications to physics the parameters  $a_{ij}$  are strictly positive reals and the parameters  $b_{ij}$  are positive reals as they are unnormalised measures of probability. We will denote  $q_{ij} := \frac{b_{ij}}{a_{ij}}$ . The parameter  $q_{ij}$  can be a root of unity if and only if it is equal to 1. It is therefore reasonable to assume that these parameters not to be a root of unity other than 1”. If all coefficients  $q_{ij}$  in (7) are nonzero, then the corresponding diffusion algebra have a PBW basis of standard monomials  $x_1^{i_1} \cdots x_n^{i_n}$ , and hence these algebras are skew PBW extensions. More precisely,  $\mathcal{A} \cong \sigma(\mathbb{C})(x_1, \dots, x_n)$ .

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